

“All the fifty years of conscious brooding have brought me no closer to answer the question, ‘What are light quanta?’ Of course today every rascal thinks he knows the answer, but he is deluding himself.”

-Albert Einstein

David J. Starling
Penn State Hazleton
PHYS 214

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Light is not a classical wave of electric and magnetic fields. Light is composed of quanta, with energy

$$E = hf$$

where $h = 6.63 \times 10^{-34}$ J-s is the Planck constant.

Photon Energy

Photon Momentum

Probability Waves

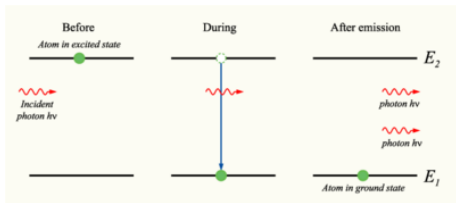
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How can light be both a particle and a wave?

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A 100 W sodium lamp emits 590 nm light. At what rate does it emit photons?

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$$R = \frac{\text{Energy per Time}}{\text{Energy per Photon}}$$

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A 100 W sodium lamp emits 590 nm light. At what rate does it emit photons?

$$\begin{aligned} R &= \frac{\text{Energy per Time}}{\text{Energy per Photon}} \\ &= \frac{P}{hf} \\ &= \frac{P}{hc/\lambda} \\ &= \frac{100 \times 590 \times 10^{-9}}{6.63 \times 10^{-34} \times 3 \times 10^8} \\ &= 2.97 \times 10^{20} \text{ photons/s.} \end{aligned}$$

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Photon Energy

How do we know that photons have discrete energy? Let's set up an experiment.

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First, remember: $V = U/q$ and $\Delta V = \Delta U/q$.

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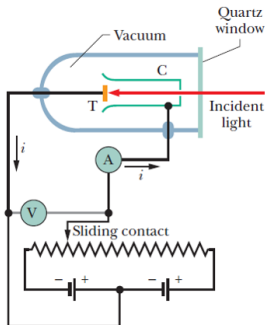
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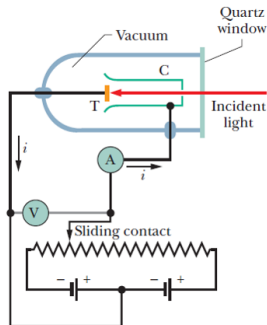
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Photon Energy

How do we know that photons have discrete energy? Let's set up an experiment.

First, remember: $V = U/q$ and $\Delta V = \Delta U/q$.



Incident light is monochromatic and knocks electrons out of the metal.

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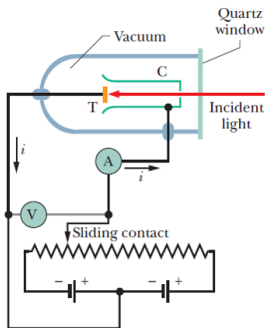
Schrödinger's Equation

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Photon Energy

We increase the voltage until no current is measured. This is the stopping potential V_{stop} .

$$K_{max} = U = eV_{stop}$$



Photon Energy

Photon Momentum

Probability Waves

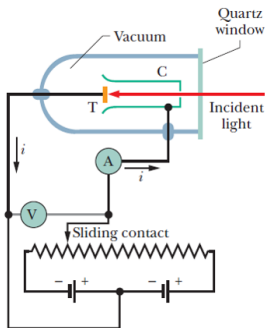
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Photon Energy

We increase the voltage until no current is measured. This is the stopping potential V_{stop} .

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Making the light more intense does not change the stopping potential.

Photon Energy

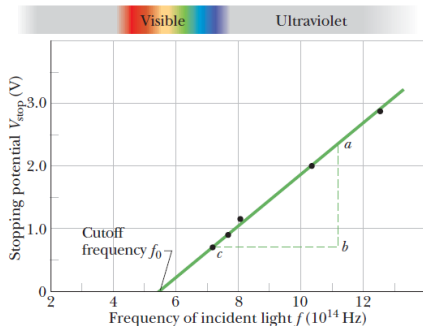
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Alternatively, we can change the frequency of light and measure the stopping potential for each frequency.



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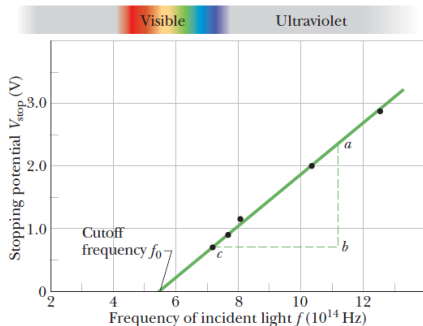
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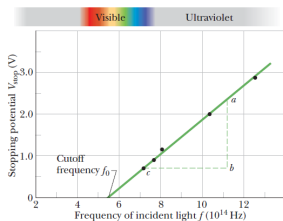
Alternatively, we can change the frequency of light and measure the stopping potential for each frequency.



There is a minimum photon frequency (energy) under which no electrons are ejected.

The resulting equation is the conservation of energy: one photon energy turns into potential and kinetic energy of an electron:

$$hf = K_{max} + \Phi$$



Photon Energy

Photon Momentum

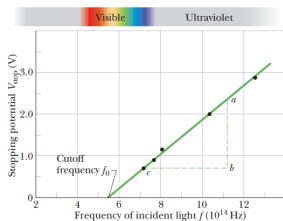
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The resulting equation is the conservation of energy: one photon energy turns into potential and kinetic energy of an electron:

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Φ is known as the “work function” and represents the energy required to pull the electron away from the material.

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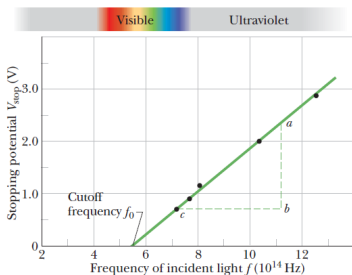
Probability Waves

Schrödinger's Equation

Tunneling

If we replace K_{max} with eV_{stop} , we get the another form of the **photoelectric equation**.

$$V_{stop} = \frac{h}{e}f - \frac{\Phi}{e}$$



Photon Energy

Photon Momentum

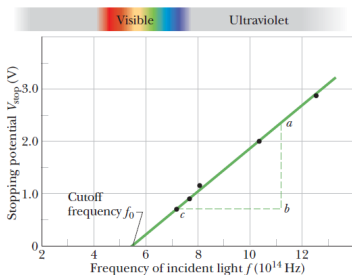
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If we replace K_{max} with eV_{stop} , we get the another form of the **photoelectric equation**.

$$V_{stop} = \frac{h}{e}f - \frac{\Phi}{e}$$



The work function depends on the material but is a constant. Therefore, we get a linear relationship.

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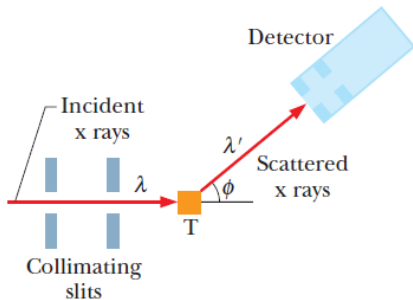
Lecture Question 6.1

Upon which one of the following parameters does the energy of a photon depend?

- (a) the mass of the photon
- (b) the amplitude of the electric field
- (c) the direction of the electric field
- (d) the relative phase of the electromagnetic wave relative to the source that produced it
- (e) the frequency of the photon

Photon Momentum

Although the photon is massless, it carries momentum $p = h/\lambda$. We know from experiments.



Photon Energy

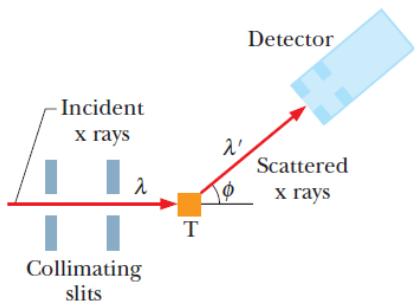
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X-rays scatter off of a carbon target. The resulting angle, intensity and wavelength are measured.

Photon Energy

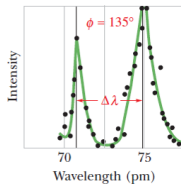
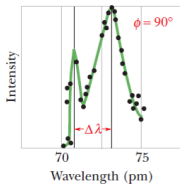
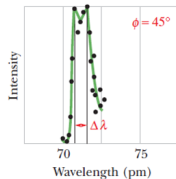
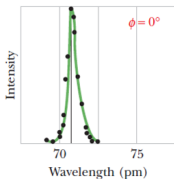
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Using a 71.1 pm x-ray beam, Compton measured the following “Compton Effect.”



Photon Energy

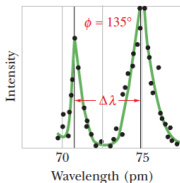
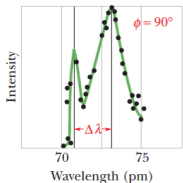
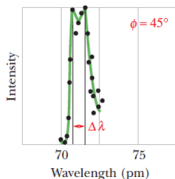
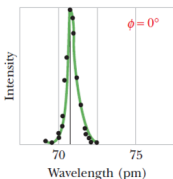
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There is a shift in the energy of the x-ray photons as the scattering angle is changed.

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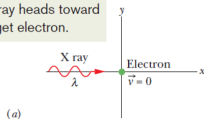
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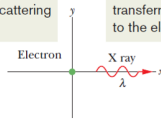
The photon is colliding with an electron. Three things can happen.

An x ray heads toward a target electron.



(a)

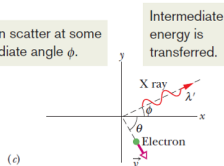
The x ray can bypass the electron at scattering angle $\phi = 0$.



(b)

No energy is transferred to the electron.

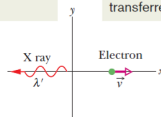
Or it can scatter at some intermediate angle ϕ .



(c)

Intermediate energy is transferred.

Or it can backscatter at the maximum angle $\phi = 180^\circ$.



(d)

Maximum energy is transferred.

Photon Energy

Photon Momentum

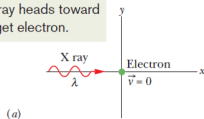
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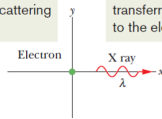
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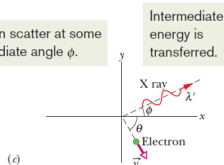
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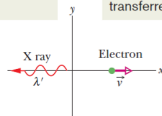
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Or it can backscatter at the maximum angle $\phi = 180^\circ$.



(d)

Maximum energy is transferred.

During a collision, energy must be conserved: $hf = hf' + K$.

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Tunneling

After the collision, the electron has relativistic kinetic energy: $K = mc^2(\gamma - 1)$,

with $\gamma = 1/\sqrt{1 - (v/c)^2}$.

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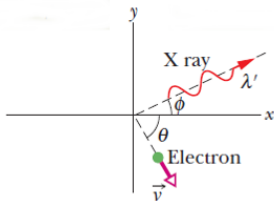
This gives:

$$\begin{aligned} hf &= hf' + mc^2(\gamma - 1) \\ \frac{h}{\lambda} &= \frac{h}{\lambda'} + mc(\gamma - 1) \quad \text{[I]} \end{aligned}$$

using $c = f\lambda$.

Photon Momentum

We can also consider momentum in the x - and y -directions.



Photon Energy

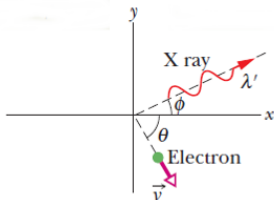
Photon Momentum

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Schrödinger's Equation

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We can also consider momentum in the x- and y-directions.



$$\frac{h}{\lambda} = \frac{h}{\lambda'} \cos(\phi) + \gamma m v \cos(\theta) \quad \text{[II]}$$

$$0 = \frac{h}{\lambda'} \sin(\phi) - \gamma m v \sin(\theta) \quad \text{[III]}$$

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Photon Energy

Photon Momentum

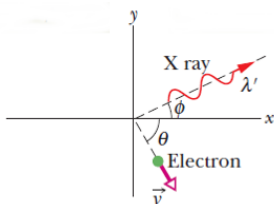
Probability Waves

Schrödinger's Equation

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When we combine equations I, II and III to solve for $\Delta\lambda = \lambda' - \lambda$, we get:

$$\Delta\lambda = \frac{h}{mc}(1 - \cos\phi)$$



Photon Energy

Photon Momentum

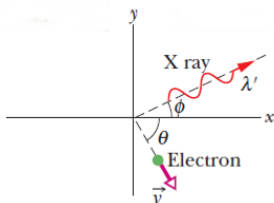
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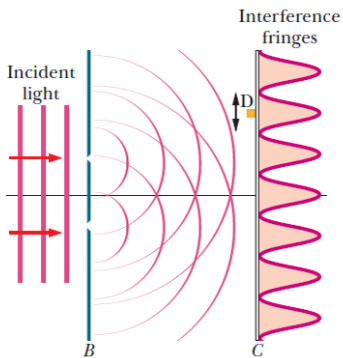
When we combine equations I, II and III to solve for $\Delta\lambda = \lambda' - \lambda$, we get:

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Here, we've eliminated the unknown electron properties v and θ and h/mc is the **Compton wavelength**.

*How do we bring together the wave nature and
particle nature of light?*



Photon Energy

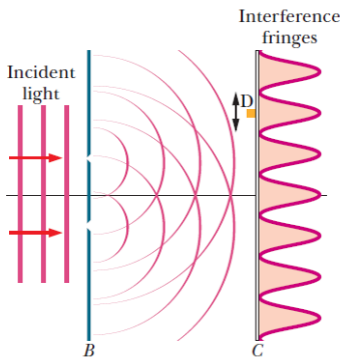
Photon Momentum

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How do we bring together the wave nature and particle nature of light?



During an interference experiment, we say that the photon is spread out in a probability distribution.

Photon Energy

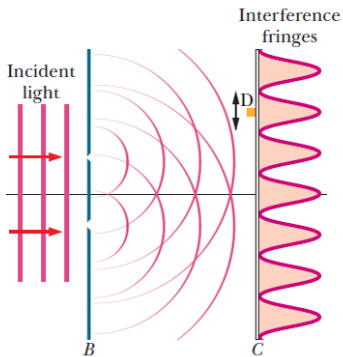
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We associate different locations with a probability density of detecting the photon there.



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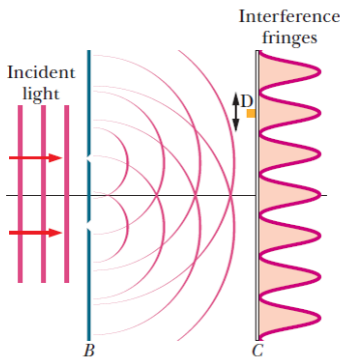
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We associate different locations with a probability density of detecting the photon there.



The photon is spread out like a wave while it travels, but behaves particle-like when detected.

Photon Energy

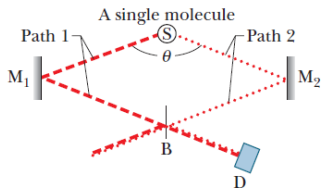
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An interferometer can be thought of as a photon interfering with itself.



Photon Energy

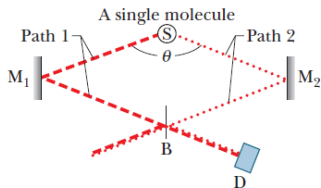
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An interferometer can be thought of as a photon interfering with itself.



A photon is emitted, its probability wave splits into two paths, interferes with itself and is detected as a photon (or not) at D.

Photon Energy

Photon Momentum

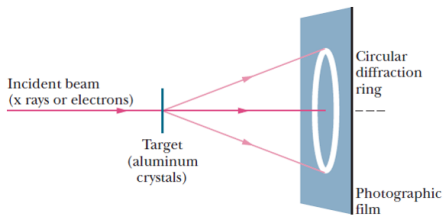
Probability Waves

Schrödinger's Equation

Tunneling

*Massive particles also behave like waves, known as matter waves, with **deBroglie wavelength***

$$\lambda = \frac{h}{p}$$



(a)

Photon Energy

Photon Momentum

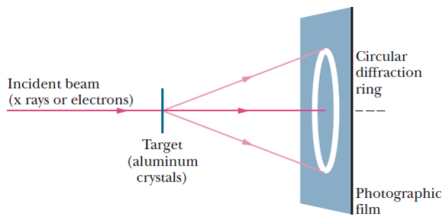
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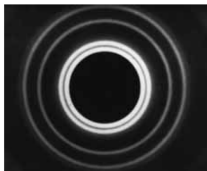
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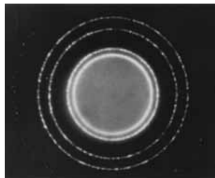
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(a)



(a)



(b)

Photon Energy

Photon Momentum

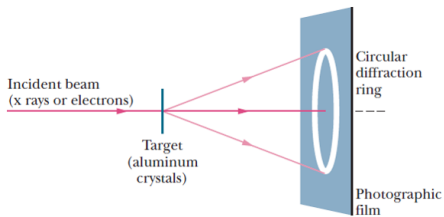
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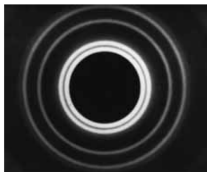
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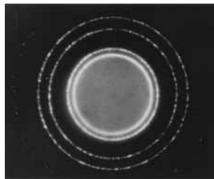
Electrons or x-rays strike the target and then diffract like waves off of the crystalline structure.



(a)



(c)



(b)

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Lecture Question 6.2

Which one of the following experiments demonstrates the wave nature of electrons?

- (a) Small flashes of light can be observed when electrons strike a special screen.
- (b) Electrons directed through a double slit can produce an interference pattern.
- (c) The Michelson-Morley experiment confirmed the existence of electrons and their nature.
- (d) In the photoelectric effect, electrons are observed to interfere with electrons in metals.
- (e) Electrons are observed to interact with photons (light particles).

Photon Energy

Photon Momentum

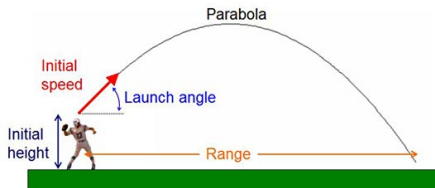
Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

In the past, we attempted to find the exact position $\vec{r}(t)$ of an object using Newton's Laws.



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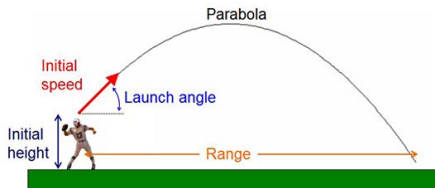
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Schrödinger's Equation

In the past, we attempted to find the exact position $\vec{r}(t)$ of an object using Newton's Laws.



That is, we solve the differential equation $\vec{F}_{net} = m \frac{d^2 \vec{r}}{dt^2}$ with initial conditions \vec{r}_0 and \vec{v}_0 . The solution is

$$\vec{r}(t) = x(t)\hat{i} + y(t)\hat{j} + z(t)\hat{k}$$

Photon Energy

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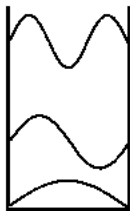
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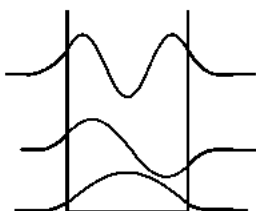
However, in quantum mechanics, particles do not obey $\vec{F} = m\vec{a}$, and exact positions $\vec{r}(t)$ do not exist. Instead, we have a probability density:

$$\Psi(x, y, z, t)$$

**Infinite
square well
wave functions**



**Finite
square well
wave functions**



Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

However, in quantum mechanics, particles do not obey $\vec{F} = m\vec{a}$, and exact positions $\vec{r}(t)$ do not exist. Instead, we have a probability density:

$$\Psi(x, y, z, t)$$

Photon Energy

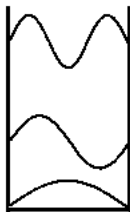
Photon Momentum

Probability Waves

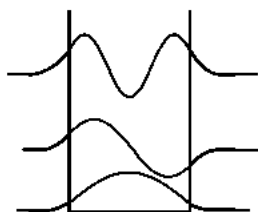
Schrödinger's Equation

Tunneling

Infinite
square well
wave functions



Finite
square well
wave functions



$\Psi(x, y, z, t)$ is known as the **wave function**. The probability density is given by its absolute square $p(x, y, z, t) = |\Psi|^2$.

Schrödinger's Equation

Often, the wavefunction can be simplified:

$$\Psi(x, y, z, t) = \psi(x, y, z)e^{-i\omega t}$$

where $\omega = 2\pi f$ is the angular frequency of the matter wave.

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Often, the wavefunction can be simplified:

$$\Psi(x, y, z, t) = \psi(x, y, z)e^{-i\omega t}$$

where $\omega = 2\pi f$ is the angular frequency of the matter wave.

The probability that a detector will measure a particle between a position x_1 and x_2 is given by:

$$p = \int_{x_1}^{x_2} |\psi(x)|^2 dx \rightarrow \int_V |\psi(x, y, z)|^2 dV.$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

This wavefunction Ψ , like \vec{r} , is the solution to an important differential equation.

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

This wavefunction Ψ , like \vec{r} , is the solution to an important differential equation.

Schrödinger's Equation!

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

This wavefunction Ψ , like \vec{r} , is the solution to an important differential equation.

Schrödinger's Equation!

$$E = K + U$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

This wavefunction Ψ , like \vec{r} , is the solution to an important differential equation.

Schrödinger's Equation!

$$\begin{aligned} E &= K + U \\ &= \frac{1}{2}mv^2 + U \end{aligned}$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

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Schrödinger's Equation!

$$\begin{aligned} E &= K + U \\ &= \frac{1}{2}mv^2 + U \\ &= \frac{1}{2m}(mv)^2 + U \end{aligned}$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

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Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

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Schrödinger's Equation!

$$\begin{aligned} E &= K + U \\ &= \frac{1}{2}mv^2 + U \\ &= \frac{1}{2m}(mv)^2 + U \\ &= \frac{p^2}{2m} + U \\ E\psi &= \frac{p^2}{2m}\psi + U\psi. \end{aligned}$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

Let's assume that the wavefunction, which describes our wave-like electrons, is oscillatory.

$$\psi(x, t) \propto e^{i(kx - \omega t)}$$

with $k = 2\pi/\lambda = p/\hbar$ and $\hbar = h/2\pi$.

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

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with $k = 2\pi/\lambda = p/\hbar$ and $\hbar = h/2\pi$.

$$\begin{aligned}\frac{d^2\psi}{dx^2} &= (ik)^2\psi \\ \frac{d^2\psi}{dx^2} &= -\frac{p^2}{\hbar^2}\psi\end{aligned}$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

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$$\frac{d^2\psi}{dx^2} = (ik)^2\psi$$

$$\frac{d^2\psi}{dx^2} = -\frac{p^2}{\hbar^2}\psi$$

$$-\hbar^2 \frac{d^2\psi}{dx^2} = p^2\psi$$

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} = \frac{p^2}{2m}\psi$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

*Putting this all together, we get the
time-independent Schrödinger's equation.*

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + U\psi = E\psi$$

or,

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2}(E - U)\psi = 0$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

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or,

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Caveats:

- (a)** This is only 1-dimensional
- (b)** This ignores the time-oscillation
- (c)** The solution depends on the function $U(x)$

Schrödinger's Equation

Let's solve Schrödinger's Equation for a free particle (i.e., $U(x) = 0$).

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

Let's solve Schrödinger's Equation for a free particle (i.e., $U(x) = 0$).

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2}(E)\psi = 0$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

Let's solve Schrödinger's Equation for a free particle (i.e., $U(x) = 0$).

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2}(E)\psi = 0$$

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2}\left(\frac{1}{2}mv^2\right)\psi = 0$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

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Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

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$$\frac{d^2\psi}{dx^2} + \left(\frac{p}{\hbar}\right)^2\psi = 0$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

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Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

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$$\frac{d^2\psi}{dx^2} + \left(\frac{p}{\hbar}\right)^2\psi = 0$$

$$\frac{d^2\psi}{dx^2} + k^2\psi = 0$$

The general solution is:

$$\psi(x) = Ae^{ikx} + Be^{-ikx} \rightarrow Ae^{ikx} \text{ (right traveling)}$$

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Schrödinger's Equation

This solution tells us how a free particle moves in 1D. The probability density is the absolute square.

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

$$p = |\psi(x)|^2$$

Schrödinger's Equation

This solution tells us how a free particle moves in 1D. The probability density is the absolute square.

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

$$\begin{aligned} p &= |\psi(x)|^2 \\ &= \psi^*(x)\psi(x) \end{aligned}$$

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Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

$$\begin{aligned} p &= |\psi(x)|^2 \\ &= \psi^*(x)\psi(x) \\ &= (Ae^{-ikx}) \times (Ae^{ikx}) \end{aligned}$$

Schrödinger's Equation

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Photon Energy

Photon Momentum

Probability Waves

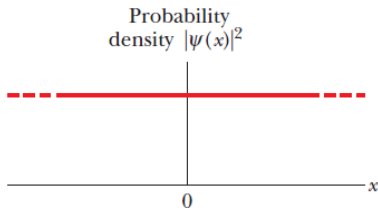
Schrödinger's Equation

Tunneling

$$\begin{aligned} p &= |\psi(x)|^2 \\ &= \psi^*(x)\psi(x) \\ &= (Ae^{-ikx}) \times (Ae^{ikx}) \\ &= A^2 \end{aligned}$$

Schrödinger's Equation

This solution tells us how a free particle moves in 1D. The probability density is the absolute square.



$$\begin{aligned} p &= |\psi(x)|^2 \\ &= \psi^*(x)\psi(x) \\ &= (Ae^{-ikx}) \times (Ae^{ikx}) \\ &= A^2 \\ &= \text{constant} \end{aligned}$$

Photon Energy

Photon Momentum

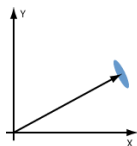
Probability Waves

Schrödinger's Equation

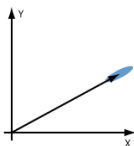
Tunneling

One consequence of this probabilistic behavior is the Heisenberg Uncertainty Principle.

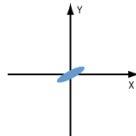
$$\Delta x \cdot \Delta p_x \geq \hbar$$



amplitude squeezing



phase squeezing



squeezed vacuum

Photon Energy

Photon Momentum

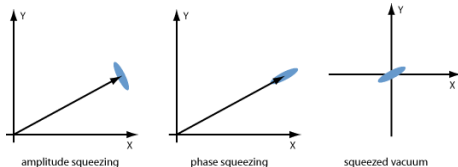
Probability Waves

Schrödinger's Equation

Tunneling

One consequence of this probabilistic behavior is the Heisenberg Uncertainty Principle.

$$\Delta x \cdot \Delta p_x \geq \hbar$$



The less uncertainty in position, the more uncertainty in momentum (and *vice versa*).

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Lecture Question 6.3

Which one of the following statements provides the best description of the Heisenberg Uncertainty Principle?

- (a) If a particle is confined to a region Δx , then its momentum is within some range Δp .
- (b) If the error in measuring the position is Δx , then we can determine the error in measuring the momentum Δp .
- (c) If one measures the position of a particle, then the value of the momentum will change.
- (d) It is not possible to be certain of any measurement.
- (e) Depending on the degree of certainty in measuring the position of a particle, the degree of certainty in measuring the momentum is affected.

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Photon Energy

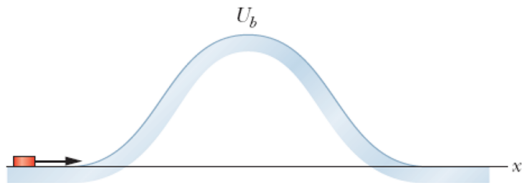
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

Consider a puck sliding along an icy hill where $U_b = mgh$ is the potential energy at the top.



Photon Energy

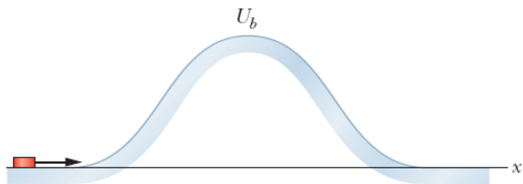
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

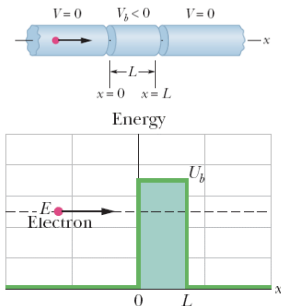
Consider a puck sliding along an icy hill where $U_b = mgh$ is the potential energy at the top.



In this case, the puck needs $K > U_b$ to pass over this barrier.

Tunneling

However, in quantum mechanics, a particle can tunnel through a barrier even if it does not have enough energy to do so.



Photon Energy

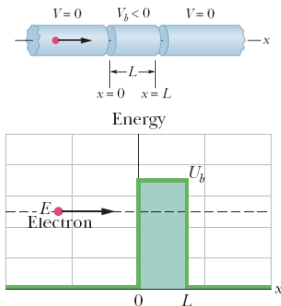
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

However, in quantum mechanics, a particle can tunnel through a barrier even if it does not have enough energy to do so.



We must solve Schrödinger's equation in order to find the tunneling probability!

Photon Energy

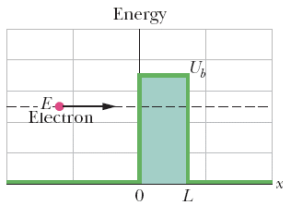
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2}(E - U)\psi = 0$$



Photon Energy

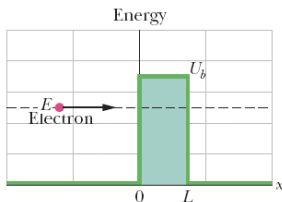
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2}(E - U)\psi = 0$$



- ▶ For $x < 0$ and $x > L$, we have a free particle $U = 0$.
- ▶ For $0 < x < L$, $E < U_b = eV_b$.
- ▶ At each boundary, $\psi(x)$ must be continuous and smooth.

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

The term $E - U$ is the kinetic energy.

$$E - U = \frac{1}{2}mv^2 = \frac{p^2}{2m} = \frac{1}{2m} (k\hbar)^2$$

(note: $p = h/\lambda = k\hbar$)

Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

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Photon Energy

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(note: $p = h/\lambda = k\hbar$) Substituting in:

$$\frac{d^2\psi}{dx^2} + k^2\psi = 0$$

- ▶ This has the same solutions as before (e^{ikx})
- ▶ Here, $k = \sqrt{2m(E - U)}/\hbar$
- ▶ The wavenumber can be imaginary if $U > E$.

Photon Energy

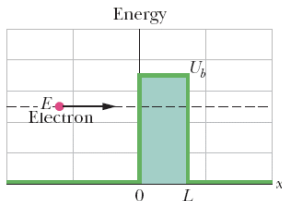
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

There are three regions when an electron hits a barrier:



Photon Energy

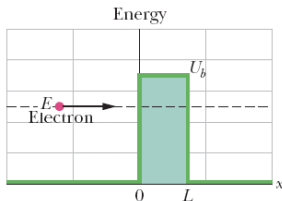
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

There are three regions when an electron hits a barrier:



- ▶ Left Side ($k \in \mathbb{R}$): $\psi(x) = Ae^{ikx} + Be^{-ikx}$

Photon Energy

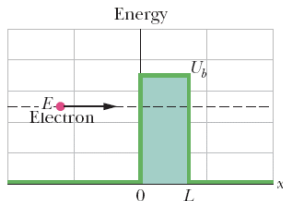
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

There are three regions when an electron hits a barrier:



- ▶ Left Side ($k \in \mathbb{R}$): $\psi(x) = Ae^{ikx} + Be^{-ikx}$
- ▶ Middle Section ($k \in \mathbb{I}$): $\psi(x) = Ce^{ikx} + De^{-ikx}$

Photon Energy

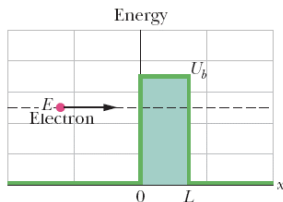
Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling

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- ▶ Middle Section ($k \in \mathbb{I}$): $\psi(x) = Ce^{ikx} + De^{-ikx}$
- ▶ Right Side ($k \in \mathbb{R}$): $\psi(x) = Ee^{ikx}$

Photon Energy

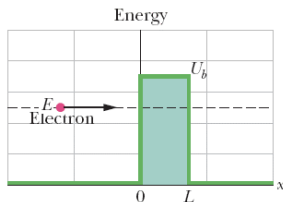
Photon Momentum

Probability Waves

Schrödinger's Equation

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Photon Energy

Photon Momentum

Probability Waves

Schrödinger's Equation

Tunneling